

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of: Dudley Finch, et al.

United States Application No.: 10/577,962

International Application No.: PCT/US04/37510

International Filing Date: 11-08-2004

For: SHAPE-MEMORY POLYMER COATED ELECTRODES

Attorney Docket No.: 7128.00018

**RESPONSE TO NOTIFICATION OF MISSING REQUIREMENTS**

Mail Stop PCT  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

Transmitted herewith is a copy of the Notification of Missing Requirements Under 35 U.S.C. 371 in the DO/EO/US. In response thereto, enclosed please find the following:

1. An executed Declaration and Power of Attorney for Patent Application;
- and
2. Copy of Notification of Missing Requirements.

Please charge our Deposit Account No. 11-1449 for any additional fees or credit  
our account for any overpayment.

Respectfully submitted,

KOHN & ASSOCIATES, PLLC



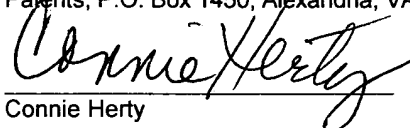
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Dated: December 1, 2006

**CERTIFICATE OF MAILING BY "EXPRESS MAIL"**

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Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

  
Connie Herty



## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
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U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
10/577,962	Dudley Finch	7128.00018

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INTERNATIONAL APPLICATION NO.	
PCT/US04/37510	
I.A. FILING DATE	PRIORITY DATE
11/08/2004	11/06/2003

CONFIRMATION NO. 1378

371 FORMALITIES LETTER



\*OC000000021224932\*

Date Mailed: 11/15/2006

### NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Indication of Small Entity Status
- Copy of the International Application filed on 05/03/2006
- Copy of the International Search Report filed on 05/03/2006
- Preliminary Amendments filed on 05/03/2006
- Oath or Declaration filed on 05/03/2006
- Request for Immediate Examination filed on 05/03/2006
- U.S. Basic National Fees filed on 05/03/2006
- Priority Documents filed on 05/03/2006
- Specification filed on 05/03/2006
- Claims filed on 05/03/2006
- Abstracts filed on 05/03/2006
- Drawings filed on 05/03/2006

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date. The current oath or declaration does not comply with 37 CFR 1.497(a) and (b) in that it:
  - is not executed in accordance with either 37 CFR 1.66 or 37 CFR 1.68.

**ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.**

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

Registered users of EFS-Web may alternatively submit their reply to this notice via EFS-Web.  
<https://sportal.uspto.gov/authenticate/AuthenticateUserLocalEPF.html>

For more information about EFS-Web please call the USPTO Electronic Business Center at 1-866-217-9197 or visit our website at <http://www.uspto.gov/ebc>.

**If you are not using EFS-Web to submit your reply, you must include a copy of this notice.**

VIRGINIA L IRBY

Telephone: (709) 308-9140 EXT 229

PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/577,962	PCT/US04/37510	7128.00018

FORM PCT/DO/EO/905 (371 Formalities Notice)